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Date of Deposit: November 19, 2001

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PTO/SB/05 (11-00)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P-5277
First Inventor	Heidaran et al.
Title	Peptides Promoting Cell Adherence, Growth and Secretion
Express Mail Label No.	EL416967185US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information. Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Richard J. Rodrick, Esq. - MC 089				
	Becton Dickinson and Company				
Address	1 Becton Drive				
City	Franklin Lakes	State	New Jersey	Zip Code	07417
Country	U.S.A.	Telephone	201-847-7116	Fax	201-848-9228

Name (Print/Type) Nanette S. Thomas, Esq.

Registration No. (Attorney/Agent) 33,310

Signature

*Nanette S. Thomas*

Date

11/19/01

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number  
Filing Date November 19, 2001  
First Named Inventor Heidaran et al.  
Examiner Name  
Group Art Unit  
Attorney Docket No. P-5277

TOTAL AMOUNT OF PAYMENT **\$2,072.00**

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-1666

Deposit Account Name Becton, Dickinson and Company

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740 201 370	Utility filing fee		<u>740.00</u>
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		
SUBTOTAL (1)			<b>\$740.00</b>

#### 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <u>52</u> - 20** = <u>32</u> X <u>18.00</u> = <u>576.00</u>		
Independent Claims <u>12</u> - 3** = <u>9</u> X <u>84.00</u> = <u>756.00</u>		
Multiple Dependent		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18 203 9	Claims in excess of 20	
102 84 202 42	Independent claims in excess of 3	
104 280 204 140	Multiple dependent claim, if not paid	
109 84 209 42	** Reissue independent claims over original patent	
110 18 210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) **\$1,332.00**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath		
127 50 227 25	Surcharge - late provisional filing fee or cover sheet		
139 130 139 130	Non - English specification		
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination		
112 920* 112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action		
115 110 215 55	Extension for reply within first month		
116 400 216 200	Extension for reply within second month		
117 920 217 460	Extension for reply within third month		
118 1,440 218 720	Extension for reply within fourth month		
128 1,960 228 980	Extension for reply within fifth month		
119 320 219 160	Notice of Appeal		
120 320 220 160	Filing a brief in support of an appeal		
121 280 221 140	Request for oral hearing		
138 1,510 138 1,510	Petition to institute a public use proceeding		
140 110 240 55	Petition to revive - unavoidable		
141 1,280 241 640	Petition to revive - unintentional		
142 1,280 242 640	Utility issue fee (or reissue)		
143 460 243 230	Design issue fee		
144 620 244 310	Plant issue fee		
122 130 122 130	Petitions to the Commissioner		
123 50 123 50	Processing fee under 37 CFR § 1.17(q)		
126 180 126 180	Submission of Information Disclosure Statement		
581 40 581 40	Recording each patent assignment per property (times number of properties)		
146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))		
179 740 279 370	Request for Continued Examination (RCE)		
169 900 169 900	Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

### SUBMITTED BY

Name (Print/Type) Nanette S. Thomas, Esq. Registration No. (Attorney/Agent) 33,310 Telephone 201-847-7049  
Signature Nanette S. Thomas Date Nov. 19, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Heidaran et al.

Art Unit:

Serial No.:

Examiner:

Filing Date: November 15, 2001

For: **PEPTIDES PROMOTING CELL ADHERENCE,  
GROWTH AND SECRETION**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

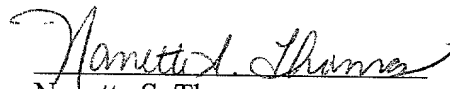
**STATEMENT UNDER 37 CFR §1.821(f)**

The information recorded in computer readable form on the diskette submitted with the subject application is identical to the written sequence listing of the application.

Respectfully submitted,

Dated: November 15, 2001  
Becton Dickinson & Company  
1 Becton Drive  
Franklin Lakes, NJ 07417-1880  
Tel: (201) 847-7049

By:

  
Nanette S. Thomas  
Attorney for Applicants  
Reg. No. 33,310

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
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*November 19, 2001*

By: SUSAN R. WAGNER

 *11/19/01*  
(Signature) (Date)